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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/646,418

Filing Date August 22, 2003

First Named Inventor Jack Nicklas Lucas

Group Art Unit Unassigned

Examiner Name Unassigned

Total Number of Pages in This Submission

4

Attorney Docket Number 40046-0002

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence  
Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
identify below):

1. Preliminary Amendment
2. Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Steven L. Nichols (Reg. No.: 40,326)

Signature

Date

October 1, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of

Jack Lucas et al.

Serial No. 10/646,418

Filed: August 22, 2003

For: **Portable Battery Powered  
Freezer Monitor**

Group Art Unit: Unassigned

Examiner: Unassigned

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, DC 20231

Sir:

Prior to an initial examination of the above-identified patent application, which is filed herewith, please amend the application as follows.